

# Minimizing Total Tardiness in the m-Machine Flow-Shop Problem by Heuristic Algorithms

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# Abstract

In this work the m-machine permutation flow-shop problem has been considered. The permutation flow-shop scheduling problem where a set of jobs have to be scheduled on a set of machines in the same order. We propose heuristic algorithms for the flow-shop problem to minimizing the total tardiness. A new genetic and Tabu search algorithm which initialized by the solution of EDD, NEH and EN algorithm. Computational experiments are performed on benchmark instances and the results show the good performances of these methods. Finally, some future research directions are given.

Keywords: Flowshop; Tardiness; Tabu search; Scheduling; Heuristic; Genetic algorithm.

# 1. Introduction

We consider in this paper the permutation flow-shop scheduling problem, one of the most famous scheduling problems. We consider that there is a set  $J = \{J_1, ..., J_n\}$  of n jobs to schedule on a set  $M = \{M_1, ..., M_m\}$  of m machines. A machine can process only one job at a time and we assume that the machines are immediately available. All the jobs have the same routing, they are processed in the same order, i.e. on machine  $M_1$  first and then on machine  $M_2$ ,  $M_3$ , etc. Also we assume that the sequence of jobs on each machine is the same. We denote by  $p_{i,j}$  the processing time of  $J_j$  on machine  $M_i$  and  $d_j$  is the due date of  $J_j$ . Variable  $C_j$  denotes the completion time of job  $J_i$  and variable  $T_j$  its tardiness, dened by  $T_j = \max(C_j - d_j, 0), \forall j, 1 \le j \le n$ .

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The objective is to minimize the total tardiness denoted by  $\sum T_j = \sum_{j=1}^n T_j$ . The problem is classically denoted by  $F|prmu| \sum T_j$  [1], where *prmu* indicates a "permutation flow-shop" (same sequence on each machine). This problem is known to be *NP-hard* in the ordinary sense when there is a single machine and *NP-hard* in the strong sense for  $m \ge 2$  [2, 3].

The literature contains a lot of papers dealing with this problem, some of them dealing with the particular case of two machines. In the case of two machines, some exact methods have been proposed such as branch-and-bound algorithms [4–6]. In [7], instances with up to 24 jobs can be solved to optimality, which shows the diculty to solve this problem with only two machines. Some heuristic approaches have been proposed, such as greedy heuristics using priority rules or inspired by NEH algorithm [8], and a shifting bottleneck procedure [9]. Some metaheuristics have also been proposed in the literature, such as simulated annealing [10], tabu search algorithms [11–15], genetic algorithms [16], particle swarm optimization [17, 18]. Della Croce and his colleagues [19] and Ta and his colleagues [20], the authors propose a matheuristic method for this problem, etc.

For the *m*-machine flow-shop scheduling problem, Onwubolu and Mutingi propose in [16] a genetic algorithm minimizing a combination of the total tardiness and the number of tardy jobs. In the survey of Vallada, Ruiz and Minella [21], a lot of algorithms are implemented and compared. A neighborhood search algorithm based on the permutation of blocks of consecutive jobs seems to be one of the most ecient methods. In [22], the authors propose three genetic algorithms including advanced techniques such as path relinking, local search, and a procedure to control the diversity of the population. Victor Ferrnadez-Viagas and his colleagues [23] propose several tie-breaking mahanisms for the NEH to solve the problem. We do not mention the wide literature concerning flow-shop problems with total completion time minimization (equivalent to the total tardiness if due dates are all equal to 0), but a lot of exact and approximate methods have also been proposed. The interested reader can find a more complete state-of-the art survey on the *m*-machine flow-shop problem with total tardiness and approximate methods have also been proposed.

In this paper, we propose several genetic and Tabu search algorithms which initialized by the solution of a EDD, NEH algorithm. The solutions of the Tabu search algorithm are compared to the solutions of the genetic algorithm. For the evaluation, 108 benchmark instances proposed in [21] have been used. The rest of the paper is organized as follows. In Section 2, the resolution methods are described. In Section 3 reports the settings of the methods and the computational results. A conclusion and some future research directions are proposed in Section 4.

### 2. Resolution methods

In this section, we propose several heuristics and metaheuristic algorithms. Two basic heuristic algorithms, EDD and NEH, that run in  $O(n \log n)$  time. We present two metaheuristics developed for solving our problem. The first is a genetic algorithm, the second is a Tabu search. We give some basic notions on algorithms and then we describe our implementation.

#### 2.1. EDD algorithm

EDD (*Earliest Due Date*): jobs are sorted in the due date non decreasing order, i.e.  $d_{[1]} \le d_{[2]} \le ... \le d_{[n]}$  where  $d_{[k]}$  is the due date of the job in position k. The algorithm is described in **Table 1** 

#### Table 1: EDD algorithm

Algorithm	<b>1</b> : EDD algorithm	
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1: Input: S = a set of jobs,

2: Sorted: the jobs by non decreasing order of  $d_i$ ,

3: Output: A set of jobs sorted in non decreasing order of  $d_i$ 

## 2.2. NEH algorithm

In [8], the authors develop NEH heuristic for *m*-machine flow-shop scheduling problem with makespan minimization. We propose and apply the method for minimizing the total tardiness for the m-machine permutation flow shop scheduling problem. NEH algorithm is described in details for the problem below (see **Table 2**).

## Table 2: NEH algorithm

Algorithm 2: NEH algorithm
1: Input: $S = jobs$ sorted in the decreasing order of $P_j$ ,
2: where $P_{j} = \sum_{i=1}^{m} P_{i,i}$ , $\forall j = 1,, n$
3: Consider the partial sequence with minimum total tardiness and minimum makespan in case of ties among
$\{(S_{[1]}, S_{[2]}), (S_{[2]}, S_{[1]})\}$
4: for $k = 3$ to $n$ do
5: Test the insertion of $S_{[k]}$ at any possible position in S' from I to $k + 1$
6: Keep the best insertion, i.e. the insertion with minimum $\Sigma T_i$ , and the insertion with minimum makespan
in case of ties.
7: end for

#### 2.3. Genetic algorithm

• Principles of a genetic algorithm

Genetic algorithms (GA) have been originally proposed by Holland [25]. This is a general search technique where a population composed by individuals evolves following nature inspired mechanisms called "genetic operators". The population is composed by individuals that are valuated by a fitness, which is often related to the objective function.

Starting from an initial population, new solutions are generated by selecting some "parents" randomly, but with

a probability growing with fitness, and by applying genetic operators such as *selection*, *crossover* and *mutation*, which introduces random modifications. Some existing solutions are randomly selected for crossover, some solutions are selected for mutation, and a new population of the same size is obtained. The process is repeated until a given stopping criterion is reached, e.g. a time limit or when a sufficiently satisfactory solution has been found.

Genetic algorithms have been largely used for solving scheduling problems. According to [26], the main steps of a genetic algorithm are:

- 1. Generation of the *initial population*  $P_0$ ,
- 2. Evaluation of the *fitness* of each individual,
- 3. Selection of the individual couples in population  $P_{k-1}$ ,
- 4. Application of the *crossover* operator: with a probability  $\rho_c$ , two individuals of  $P_{k-1}$  will be crossed to create two new individuals in a set  $C_k$ ,
- 5. Application of the *mutation* operator: with a probability  $\rho_m$ , each individual is modied by a mutation and inserted in a set  $M_k$ ,
- 6. Replace population  $P_{k-1}$  by population  $P_k$ :  $P_k$  contains the *PopSize* best individuals of  $P_{k-1} \cup M_k \cup C_k$ .
- 7. Repeat the process at step 2 until a stopping condition is satisfied.

A genetic algorithm is designed by several parameters of high importance. First of all, there are several ways for coding a solution. In our scheduling problem, solving the problem is equivalent to finding a sequence of jobs, and it is generally convenient to consider that an individual is exactly this sequence. This is called in the litterature "direct encoding" because an individual corresponds to a solution without ambiguity. For more complicated scheduling problems such as job-shop or parallel machine problems, an individual may represent a list of jobs, but an algorithm has to be used to determine the corresponding solution. This is called in the literature "undirect encoding" because an individual does not correspond "immediately" to a solution.

The other key points in a genetic algorithm are the crossover and the mutation operators. The literature contains a lot of definitions, strongly related to the coding definition. For classical scheduling problems, the most famous crossover operators are *1*-point crossover up to *k*-point crossover. Mutation generally consists in changing arbitrarily an element of an individual. Fixing the probabilities  $\rho_c$  of crossover and  $\rho_m$  of mutation is not an easy task. It is generally done after some preliminary computational experiments on a subset of the data set. A survey of the applications of genetic algorithms to scheduling problems can be found in [27].

• Genetic operators

Coding: The crucial step in designing a Genetic algorithm is to de ne an encoding, i.e. a way to represent a solution. In the case of the *m*-machine permutation flow shop scheduling problem with n jobs indexed from 1 to n, an individual is represented by a *permutation*.

*Initial population*: The initial population  $P_0$  contains *PopSize* individuals. One individual is obtained by sequencing the jobs according to a given rule. The other individuals are randomly generated. The way that the first individual is generated leads to different versions of the algorithm. If the first individual is given by *EDD* rule (see **Table 1**), the method is called in the following  $GA_{EDD}$ . If the initial sequence is given by applying an adaptation of *NEH* algorithm [8] (described in **Table 2**), the method is called in the following  $GA_{NEH}$ . Finally, if one initial sequence is given by the best among *EDD* and *NEH*, the method is called  $GA_{EN}$ .

*Fitness*: The fitness of an individual S is the value of the objective function  $\Sigma T_j$  (S) of the corresponding sequence.

*Crossover*: Severla crossover operators are used: the *one-point crossover* (X1) [28] and the *linear order crossover* (LOX) [28], the *Similar Job Order Crossover* or (SJOX), the *Similar Block Order Crossover* or (SBOX) and the *Similar Block 2-Point Order Crossover* or (SB2OX) [29]. The operators are described the follow:

-X1: One crossover point is randomly generated. Let A = A1 // A2 and B = B1 // B2 be the two parents. Two offsprings are calculated. Offspring 1 denoted by *O1* contains the jobs of *A1* in the order of *A* and the jobs of *A2* in the order of *B*. Offspring 2 denoted by *O2* contains the jobs of *B1* in the order of *B* and the jobs of *B2* in the order of *A*.

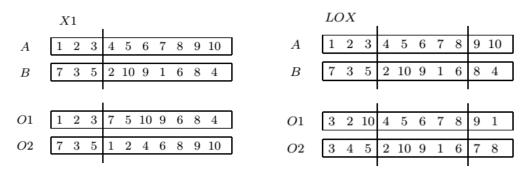


Figure 1: Illustration of two crossover operators

-LOX: Two different crossover points are randomly generated. Let A = A1 // A2 // A3 and B = B1 // B2 // B3be the two parents. Two offsprings are calculated. Offspring 1 denoted by *O1* contains in the middle the jobs of *A2* in the order of *A*. The jobs of *A1*  $\cup$  *A3* in the order of B fill the first and the last part of *A*. Offspring 2 denoted by *O2* contains in the middle the jobs of *B2* in the order of *B*. The jobs of *B1*  $\cup$  *B3* in the order of *A* fill the first and the last part of *B*. The two crossover operators are illustrated in **Figure 1** 

In our genetic algorithm, the crossover operator is chosen randomly, with equal probability.

*Mutation*: We denote by *S* the current sequence. The *mutation operators* applied to  $S = S_I / S_{[i]} / S_2 / S_{[j]} / S_3$  with  $S_I$ ,  $S_2$  and  $S_3$  three subsequences of *S* and  $S_{[i]}$  and  $S_{[j]}$  the jobs in positions *i* and *j* in *S* (i < j), two positions i and j (j > i) are randomly choosen. The mutation operators [30], [31] be created as follows (see **Figure 2**):

-SWAP: A neighbor of *S* is created by interchanging the jobs in position *i* and *j*, leading to sequence  $S' = S_I / S_{[i]} / S_2 / S_{[i]} / S_3$ .

-EBSR (*Extraction and Backward Shifted Re-insertion*): A neighbor of S is created by extracting  $S_{[j]}$  and reinserting  $S_{[j]}$  backward just before  $S_{[i]}$ , leading to sequence  $S' = S_1 / S_{[j]} / S_{[i]} / S_2 / S_3$ .

-EFSR (*Extraction and Forward Shifted Re-insertion*): A neighbor of S is created by extracting  $S_{[i]}$  and reinserting it forward immediately after  $S_{[j]}$ , leading to a sequence  $S' = S_1 / S_2 / S_{[j]} / S_{[i]} / S_3$ .

-Inversion: A neighbor of S is created by inserting  $S_{[j]} / S'_2 / S_{[i]}$  between  $S_1$  and  $S_3$ , where  $S'_2$  is the inverse of sequence  $S_2$ .

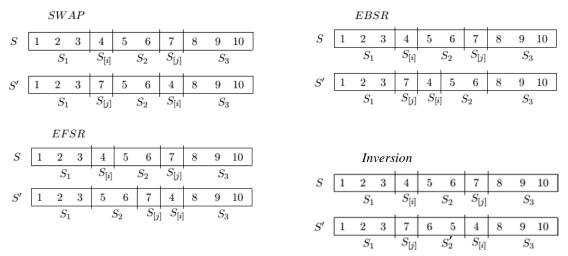


Figure 2: Illustration of mutation operators

Selection and generational scheme: At iteration k, two parents are randomly selected in population  $P_{k-1}$ . The two crossover operators are applied on the two parents, generating four offsprings, inserted into population set  $C_k$ . The process is repeated until *CrossSize* offsprings have been generated. The mutation operator is applied on randomly selected individuals of population  $P_{k-1}$ . The new individuals constitute a population  $M_k$  of size *MutSize*. The *PopSize* best individuals of  $P_{k-1} \cup C_k \cup M_k$  constitute population  $P_k$ .

*Stopping criterion*: The process iterates until a given time limit has been reached. This time limit is denoted by *TimeLimGA*.

A lot of parameters and operators have been tested for the genetic algorithms, it concerns: the generation on the initial population, the crossover operators, the mutation operators.

## 2.4. Tabu search algorithm

Tabu search (TS) has been initially proposed by Glover [32,33]. TS is a metaheuristic local search algorithm that begins with an initial solution and successively moves to the best solution in the neighborhood of the

current solution. The algorithm maintains a list of forbidden solutions, to prevent the algorithm from visiting solutions already examined (these solutions are called *tabu*). The elements of our TS algorithm are described below.

• Initial solution

The proposed tabu search algorithm starts from an initial solution. This initial solution is classically generated by using simple heuristic methods, such as *EDD*, *SPT*, *NEH*, etc. In this paper, we propose different initial solutions are considered. If the initial solution is given by *EDD* rule in **Table 1**, the method is denoted by  $TS_{EDD}$ . If the initial sequence is given by applying an adaptation of *NEH* algorithm (see **Table 2**), the method is denoted by  $TS_{NEH}$ . Finally, if the initial sequence is given by the best solution between *EDD* and *NEH*, the method is denoted by  $TS_{EN}$ .

• Neighborhood definition

We denote by *S* the current sequence. We denote by N(S) the set of all neighbors of *S* which can be created by *SWAP*, *EBSR*, *EFSR*, *Inversion* operators (see **Figure 2**).

• Moves and selecting the best neighbor

The objective function is the total tardiness. The best neighbor in the candidate list is the non-tabu sequence which generates the smallest total tardiness. The move strategy which is applied to the list is the first-in-first-out (FIFO) strategy. Old attributes are deleted as new attributes are inserted.

• Tabu list

The size of the tabu list is a very important parameter, which can be either fixed or variable. In [32,33], the author provided some general methods of tabu list implementions.

In [12] the authors generate a tabu list by storing attributes of the visited permutations, defined by certain pair of adjacent jobs. Our tabu list contains pairs of positions (i, j), corresponding to the neighborhood definition and the size of the tabu list is fixed.

• Stopping condition

The algorithm is stopped when the time limit has been reached. This time limit is denoted by TimeLimitTS.

• Detailed algorithm

The detailed TS algorithm is given in **Table 3**. *FlagSwap*, *FlagNB* with  $NB \in \{EBSR, EFSR, Inversion\}$  allow to make a selection of the neighbors. *LimitSwap*, *LimitNB* allow to limit the size of the neighborhood. Del(*T*) deletes the upper element of the Tabu list and Add(*T*, (*k*, *j*)) adds element (*k*, *j*) to the Tabu list.

#### Table 3: Tabu Search algorithm

Algorithm 3: Tabu Search algorithm								
1: Initialization								
2: $S_0$ = initial solution, $S$ = current solution								
3: $S' = S_0 //$ best solution of $N(S)$								
4: $S^* = S_0 //$ best solution of $N(S)$ and non-tabu								
5: $f^* = f(S_0) // f^*$ value of $S^*$ and $f(S_0)$ value of $S_0$								
6: $T = \emptyset$ ; // T is the tabu list								
7: while (CPU $\leq$ <i>TimeLimitTS</i> ) do								
8: $f(S') = \infty$ ,								
9: <b>for</b> $k = 0$ to $n - 1$ <b>do</b>								
10: <b>for</b> $j = k + 1$ to <i>n</i> <b>do</b>								
11: <b>if</b> (FlagSwap = 1) and $(j - k \le \text{LimitSwap})$ <b>then</b>								
12: $S = S', f(S) = f(S'), SWAP(S, (k, j)),$								
13: <b>if</b> $((k, j) \notin T)$ <b>then</b>								
14: Calculate( $f(S)$ ),								
15: <b>if</b> $(f(S) < f(S'))$ then $S' = S$ , $f(S') = f(S)$ , move $= (k, j)$ , <b>end if</b>								
16: <b>end if</b>								
17: end if								
18: <b>if</b> $(j \neq k + 1)$ and (FlagNB = 1) and $(j - k \le \text{LimitNB})$ <b>then</b>								
19: $S = S', f(S) = f(S'), NB(S, (k, j)),$								
20: <b>if</b> $((k, j) \notin T)$ <b>then</b>								
20. If $((x, y) \notin T)$ then 21: Calculate $(f(S))$ ,								
22: <b>if</b> $(f(S) < f(S'))$ <b>then</b> $S' = S$ , $f(S') = f(S)$ , move $= (k, j)$ , <b>end if</b>								
22: $n (0, 0) < j(0, 0)$ then $0 = 0, j(0, 0) = j(0), move = (0, 0), end n$ 23: end if								
24: end if								
25: end for								
40: end for								
41: <b>if</b> $(f(S') < f^*)$ then $S^* = S', f^* = f(S)$ , <b>end if</b>								
41: <b>if</b> $(SizeTabu \ge TabuMax)$ then $Del(T)$ end if								
42. If (Size radius 2 radius and being) then $Den(T)$ end if 43: Add $(T, (k, j))$								
44: end while								

#### **3.** Computational experiments

The algorithms have been tested on a PC Intel  $core^{TM}i5$  CPU 2.4GHz. 108 benchmark instances proposed in [34] have been used for the evaluation. Nine instances of these benchmark instances are used for each combination of *n* and *m*, with  $n \in \{50, 150, 250, 350\}$  and  $m \in \{10, 30, 50\}$ . In these instances, the processing times are uniformly distributed between 1 and 99. The due dates are generated with an uniform distribution between  $P(1 - \tau - \rho/2)$  and  $P(1 - \tau - \rho/2)$  following the method of Potts and VanWassenhove [35] with *P* a lower bound of the makespan and  $\tau$  and  $\rho$  two parameters called tardiness factor and due date range, which take the following values:  $\tau \in \{0.2, 0.4, 0.6\}, \rho \in \{0.2, 0.6, 1\}$ . The first instance (among five) of [34] for each tuple (*n*, *m*,  $\tau$ ,  $\rho$ ) has been used for the tests, which gives the 108 instances. In all tables, each line summarizes the results for 9 instances and of course, the methods may return solutions with the same quality, so the total per line of *'Best'* may exceed 9.

# 3.1. Comparison of the genetic algorithms

The time limit of the GA is fixed to *TimeLimGA* =  $(n(m/2) \ge 90)/1000$  seconds (as dened in [21]). For the genetic algorithms, a lot of preliminary experiments have been conducted for the parameters settings. At the end, two parameters sets seem to lead to the best results, denoted case 1 and case 2.

Table 4: The two case of parametres

		case1	case2
PopSize	$=  P_k $	150	150
CrossSize	$=  C_k $	200	600
MutSize	$=  M_k $	100	360

For the same instance, the genetic algorithm has been executed ten times and it returns quite always solutions with the same quality. The average relative deviation between ten runs is less than 3%.

$$\Delta_G = \frac{GA_x(G) - \min(GA_x(EDD), GA_x(NEH), GA_x(EN))}{GA_x(G)}$$
(1)

The several *GA* methods are compared in terms of quality. In **Table 5** and **Table 6**, column 'Best' for ' $GA_x(G)$ ' with  $(x \in \{1, 2\})$ ,  $(G \in EDD, NEH, EN)$  indicates the number of times the method  $GA_x(G)$  outperforms the other methods, column Cpu(s) indicates the average computation time of  $GA_x(G)$  per nine instances, column ` $\Delta_G$ ' indicates the average deviation between  $GA_x(G)$  and the best method between  $GA_x(EDD)$ ,  $GA_x(NEH)$  and  $GA_x(EN)$ .

n×m		GA <sub>1</sub> (EDD)			GA <sub>1</sub> (NEH)			GA <sub>1</sub> (EN)		
плш	Best	Cpu(s)	$\Delta GA1_{EDD}$	Best	Cpu(s)	$\Delta GA1_{NEH}$	Best	Cpu(s)	$\Delta GA1_{EH}$	
$50 \times 10$	5	22.00	0.79%	5	22.00	2.78%	3	22.00	3.83%	
50  imes 30	3	67.01	2.76%	3	67.00	2.70%	3	67.00	3.92%	
50  imes 50	5	112.01	1.22%	0	112.01	2.62%	4	112.01	1.61%	
150  imes 10	6	67.02	0.49%	5	67.02	4.35%	2	67.02	6.58%	
150  imes 30	7	202.04	1.53%	2	202.02	3.46%	2	202.05	4.70%	
$150\times 50$	3	337.05	1.39%	2	337.03	5.81%	3	337.05	1.93%	
250  imes 10	8	112.03	0.08%	3	112.04	4.60%	4	112.07	5.76%	
250  imes 30	7	337.05	0.23%	2	337.05	7.09%	4	597.56	5.40%	
250  imes 50	5	562.08	1.07%	3	562.06	5.21%	3	562.06	2.15%	
350  imes 10	8	157.09	0.58%	2	157.03	17.13%	4	157.07	4.47%	
350  imes 30	8	472.13	0.06%	1	472.06	15.83%	3	472.10	4.20%	
$350\times 50$	6	787.09	0.23%	1	787.11	6.22%	4	787.14	3.00%	
Sum/avg	71	269.55	0.87%	29	269.54	6.48%	39	291.26	3.96%	

 Table 5: Comparison of genetic algorithms of case 1

n×m	GA <sub>2</sub> (EDD)				GA <sub>2</sub> (NEH)			GA <sub>2</sub> (EN)		
плш	Best	Cpu(s)	$\Delta GA2_{EDD}$	Best	Cpu(s)	$\Delta GA2_{NEH}$	Best	Cpu(s)	$\Delta GA2_{EH}$	
$50 \times 10$	7	22.03	0.23%	4	22.02	2.66%	4	22.03	2.66%	
50  imes 30	5	67.11	1.71%	4	67.04	2.00%	4	67.05	2.00%	
50  imes 50	6	112.04	0.67%	3	112.04	2.25%	3	112.05	2.25%	
150  imes 10	7	67.12	0.59%	2	67.09	12.10%	2	67.16	6.67%	
150  imes 30	8	202.09	0.16%	2	202.13	4.49%	3	202.14	3.78%	
150  imes 50	6	337.15	0.49%	3	337.17	5.83%	3	337.14	2.59%	
250  imes 10	8	112.19	0.15%	2	112.38	21.08%	3	112.32	7.47%	
250  imes 30	7	337.18	9.95%	2	337.35	6.30%	2	337.21	16.18%	
250  imes 50	6	562.26	0.23%	3	638.75	4.36%	3	562.36	2.68%	
350  imes 10	8	157.21	0.78%	2	157.62	24.84%	2	157.35	14.29%	
$350 \times 30$	8	472.24	0.23%	2	472.27	6.29%	1	472.45	17.59%	
350  imes 50	7	787.39	0.70%	1	787.43	7.01%	1	787.76	5.47%	
Sum/avg	83	269.66	1.32%	30	276.10	8.27%	31	269.75	6.97%	

Table 6: Comparison of genetic algorithms of case 2

Table 7: Comparison of best genetic algorithms of case 1 and case 2

n×m -		GA <sub>1</sub> (EDI	<b>D</b> )		GA <sub>2</sub> (EDI	<b>D</b> )
II × III	Best	Cpu(s)	$\Delta_1 EDD$	Best	Cpu(s)	$\Delta_2 EDD$
50 × 10	6	22,00	2,79%	5	22,03	2,79%
50  imes 30	3	67,01	1,48%	6	67,11	1,48%
50  imes 50	2	112,01	0,12%	7	112,04	0,12%
$150\times10$	6	67,02	1,29%	5	67,12	1,29%
150  imes 30	4	202,04	0,49%	6	202,09	0,49%
150  imes 50	2	337,05	0,07%	7	337,15	0,07%
250  imes 10	6	112,03	1,33%	6	112,19	1,33%
250  imes 30	6	337,05	1,86%	4	337,18	1,86%
$250 \times 50$	6	562,08	2,57%	4	562,26	2,57%
350  imes 10	9	157,09	9,90%	2	157,21	9,90%
350  imes 30	6	472,13	1,61%	5	472,24	1,61%
350  imes 50	6	787,09	2,04%	4	787,39	2,04%
Sum/avg	62	269,67	1,39%	61	269,55	2,96%

In **Table 7**, column 'Best' for ' $GA_x(EDD)$ ' ( $x \in \{1, 2\}$ ) indicates the number of times the method  $GA_x(EDD)$  outperforms the other methods, column Cpu(s) indicates the average computation time of  $GA_x(EDD)$  per nine instances, column ' $\Delta_x$ ' indicates the average deviation between  $GA_x(EDD)$  and the best method between

 $GA_1(EDD)$  and  $GA_2(EDD)$ . We can see that the genetic algorithm ( $GA_1(EDD)$ ) with the initial population given by EDD rule and the parameters of case 1 (see **Table 4**) leads to the best results. The average deviation between the solutions returned by this method and the best solutions is 1,39%. This value is around 2,96% for  $GA_2(EDD)$ . Algorithm  $GA_1(EDD)$  has been used in the following for the comparisons with the Tabu search algorithms.

$$\Delta_x(EDD) = \frac{GA_x(EDD) - min(GA_1(EDD), GA_2(EDD))}{GA_x(EDD)} \quad (2)$$

## 3.2. Comparison of Tabu search algorithms

For the Tabu search algorithms, a lot of preliminary experiments have conducted to the following parameters settings. The time limit of TS is *TimeLimTS* = ( $n(m/2) \ge 90$ )/1000 seconds. The TS methods have been executed with four Tabu list parameters  $\lambda \in \{20, 40, 60, 120\}$  and the initial solutions EDD, NEH or EN rule. The parameter ( $\lambda = 40$ ) leads to the best results all three initial solutions. The three best TS methods are compared in terms of quality. In **Table 8**, column 'Best' for ' $TS_{\lambda}(T)$ ' (with  $\lambda$  is a number element of Tabu list ( $\lambda = 40$ ), *T* is an initial solution ( $T \in \{\text{EDD}, \text{EN}, \text{NEH}\}$ ) which indicates the number of times the method  $TS_{\lambda}(T)$  outperforms the other methods, column Cpu(s) indicates the average computation time of  $TS_{\lambda}(T)$  per nine instances, column ' $\Delta_{T}$ ' indicates the average deviation between  $TS_{\lambda}(T)$  and the best method between  $TS_{40}(EDD)$ ,  $TS_{40}(EN)$  and  $TS_{40}(NEH)$ .

n × m	TS <sub>40</sub> (EDD)				TS <sub>40</sub> (NEH)			TS <sub>40</sub> (EN	)
II ~ III -	Best	Cpu(s)	$\Delta_{\mathrm{EDD}}$	Best	Cpu(s)	$\Delta_{\rm NEH}$	Best	Cpu(s)	$\Delta_{ m EH}$
$50 \times 10$	6	22,01	0,23%	4	22,00	0,72%	5	22,01	0,63%
50  imes 30	7	67,02	0,15%	2	67,03	1,93%	0	67,06	1,81%
50  imes 50	7	112,04	0,28%	1	112,06	1,14%	2	112,05	1,12%
150  imes 10	5	67,18	1,53%	6	67,02	0,88%	2	67,22	6,26%
150  imes 30	8	202,28	2,60%	1	202,62	5,74%	2	202,74	2,11%
$150\times 50$	8	337,78	0,01%	1	338,18	8,30%	0	337,72	0,69%
250  imes 10	7	112,67	0,75%	4	112,74	1,83%	4	113,10	1,51%
250  imes 30	7	338,47	0,05%	3	339,38	3,93%	4	339,10	3,97%
$250\times 50$	7	565,79	0,22%	3	566,30	2,15%	2	565,65	4,73%
350  imes 10	9	159,00	0,00%	4	158,28	2,20%	2	159,08	3,88%
350  imes 30	6	476,29	1,30%	3	478,27	5,30%	2	475,08	4,06%
350  imes 50	8	793,20	0,08%	2	797,05	4,94%	2	799,20	6,33%
Sum/avg	85	271,14	1,43%	34	271,74	4,09%	27	271,33	4,76%

Table 8: Comparison of Tabu search algorithms

We can also see from Table 8, that the Tabu search algorithm where the initial solution is given by EDD rule

leads to the best results. On average, the deviation between the solutions returned by this method and the best solutions is 1,43%. These values are around 4,09% for  $TS_{40}(EN)$  and 4,76% for  $TS_{40}(NEH)$ .

$$\Delta_T = \frac{TS_{\lambda}(T) - min(TS_{40}(EDD), TS_{40}(NEH), TS_{40}(EN))}{TS_{40}(G)} \quad (3)$$

#### 3.3. Comparison of the best algorithm among GA and TS

Now, the best algorithms GA and TS are compared. The results are presented in **Table 9**. Column 'Best' for '*Algo*' with  $Algo \in \{GA_1(EDD) TS_{40}(EDD)\}$  which indicates the number of times the method *Algo* outperforms the other methods, column Cpu(s) indicates the average computation time of *Algo* per nine instances, column ' $\Delta Algo$ ' indicates the average deviation between GA<sub>1</sub>(EDD) and TS<sub>40</sub>(EDD).

n×m -		GA <sub>1</sub> (EDI	<b>D</b> )		TS <sub>40</sub> (EDI	D)
	Best	Cpu(s)	$\Delta_1 EDD$	Best	Cpu(s)	$\Delta_2 EDD$
50 × 10	2	22.00	7.54%	9	22.01	0.00%
50  imes 30	0	67.01	8.94%	9	67.02	0.00%
50  imes 50	0	112.01	4.60%	9	112.04	0.00%
150  imes 10	2	67.02	10.93%	9	67.18	0.00%
150  imes 30	2	202.04	6.49%	8	202.28	0.01%
$150\times 50$	0	337.05	13.00%	9	337.78	0.00%
250  imes 10	3	112.03	5.02%	9	112.67	0.00%
250  imes 30	3	337.05	1.89%	8	338.47	0.13%
250  imes 50	3	562.08	1.57%	7	565.79	0.67%
350  imes 10	2	157.09	17.76%	9	159.35	0.00%
$350 \times 30$	2	472.13	4.18%	8	476.19	11.11%
350  imes 50	2	787.09	3.19%	8	793.20	0.38%
Sum/avg	21	269.55	7.09%	102	271.17	1.03%

Table 9: Comparison of the best GA and TS algorithm

 $\Delta_{Algo} = \frac{Algo-min(GA_{I}(EDD), TS_{40}(EDD))}{Algo} \quad (4)$ 

The best results are given by the Tabu search initialized by EDD rule. The average deviation between  $TS_{40}(EDD)$  and the best solution is 1,03%, the average computation time of  $TS_{40}(EDD)$  per 108 instances is 271,17 seconds. These values are 7,09% and 269,55 seconds for  $GA_1(EDD)$ .

# 4. Conclusion

We consider in this paper the m-machine flow shop scheduling problem, with the objective to minimize the total tardiness. We propose two greedy algorithms (EDD and NEH), GA and TS algorithms which are initiated by

EDD, NEH, EN solution. The neighborhood operators have also applied for the GA and TS method. The algorithms are tested and evaluated from 108 benchmark instances of Vallada and his colleagues [21]. Many parameters for each method have been tested. The results obtained by the proposed algorithms show that TS method outperforms GA. The algorithms initiated by EDD heuristic are always better than the algorithms initiated by EN or NEH. Several research directions can be considered for a future work. The first idea is to embed the resolution of the MILP (Mixed Integer Linear Programming) model into the GA, TS or into another metaheuristic, as a new neighborhood operator. A second idea is to find better crossover and mutation operators, in order to improve the genetic algorithm. A third idea is to propose a simulated annealing algorithm to be compared to the GA, TS algorithms for m-machine permutation flow shop scheduling problem. Finally, the metaheuristic methods that are proposed here can be used for minimizing the total tardiness in more complicated scheduling problems such as an integrated flow shop scheduling and vehicle routing problem.

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